IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Eric Cosatto et al.

Docket No.: 2000-0042Con

Application No.: Continuation of 09/820,396

Filing Date: September 15, 2003

Examiner Name: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Title: Audio-Visual Selection Process for the Synthesis of Photo-Realistic

Talking-Head Animations

MAIL STOP: Patent Application THE COMMISSIONER FOR PATENTS PATENT & TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA. VA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

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	application other than a continued prosecution application under §1.53(d); within three months of the
	date of the entry of the national stage as set forth in §1.491 in an international application; before the
	mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing
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	§1.97(b)).
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2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

		statement was first cited in any com-	I hereby certify that each item of information contained in this information disclosure ement was first cited in any communication from a foreign patent office in a counterpart ign application not more than three months prior to the filing of this information disclosure ement. (37 C.F.R. §1.97 (e)(1)).				
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		c. Please debit Deposit Account No. 0 37 C.F.R. §1.17(p) and to ensure cons	01-2745 in the amount of \$180.00 to cover the fee under ideration of the disclosed information.				
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Date:	9/	15/03	By: Morn M San				
	T CORP	· -	Thomas M. Isaacson, Attorney				
	Box	F-	Reg. No.: 44166				
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1445/FTO	Application Number	Cont. of 09/820,396	
INFORMATION DISCLOSURE	Filing Date	September 15, 2003	
	First Named Inventor	Eric Cosatto	
STATEMENT BY APPLICANT	Art Unit	Not Assigned	
(Use as many sheets as necessary)	Examiner Name	Not Assigned	

Attorney Docket Number 2000-0042Con Sheet 1 IL C DATENT DOCUMENTS

Examiner	T 011	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	No.1	Number-Kind Code ^{2 (f known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Releven Figures Appear
		^{US-} 6,496,594	12-2002	Prokoski, Francine J	
		^{US-} 6,449,595	09-2002	Arslan et al.	
		^{US-} 6,072,496	06-2000	Guenter et al.	
		US- 5,880,788	03-1999	Bregler	
		^{US-} 5,657,426	08-1997	Waters et al.	
		^{US-} 4,827,532	05-1989	Bloomstein, Richard	
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FOREIGN PATENT DOCUMENTS								
Cite No.1	Foreign Patent Document	Publication Date	Neme of Patentee or Applicant of Cited Document	Where Relevant Passages				
	Country Code ³ Number ⁴ Kind Code ⁴ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T			
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		No.1 Foreign Patent Document	Cite No. Poreign Patent Document Publication Date MM-DD-YYYY	Cite Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document MM-DD-YYYY	Cite Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document When Relevant Passages Of Relevant Figures Apoear			

Examiner	Date	
Signature	Considered	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. "Applicants" unique citation designation number (optional." "See Kinds Codes of USPTO Petant Decembers at www.next.org.cor or MPEP 901.00.4." Enter Office that issued the document, by the Next Peter code (NIPO Standard S13..." "Ser Office that issued the document, by the Next Peter code (NIPO Standard S13..." "Ser Office that is peter of the serial inumber of the patent document." Kind of document with the appropriate sprinted as included on the document under WIPO Standard S1..." 15 if possible. "Applicant is to place check mank her all formations and the appropriate sprinted as included on the document under WIPO Standard S1..." 15 if possible. "Applicant is to place check mank her all formations and the sprinted sprinte Transletion is attached.

Transition is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) en agripation. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complicate including gathering, preparing, and submitting the completed application from the USFTO. Time us livery depending upon the individual case. Any comments on the amount of time by crequire to complete this form endor suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. 80x 1490, Alexandric, V.A. 2211-1450, D.O. NOT SEND FEES OR COMPLETE DEFINES TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/FTO				Application Number	Cont. of 09/820,386
			CLOSURE	Filing Dat	September 15, 2003
STATEMENT BY APPLICANT (Use as many sheets as necessary)			PPLICANT	First Named Inventor	Eric Cosatto
			accessed.	Art Unit	Not Assigned
			ocessary)	Examiner Name	Not Assigned
Sheet	2	of	2	Attorney Docket Number	2000-0042Con

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1						
		CHRISTOPHER BREGLER, MICHELLE COVELL, MALCOLM SLANEY, "Video Re-write: Driving Visual Speech with Audio", Siggraph, 97, Los Angeles, CA, August 3-8, 1997					
		ANDREW HUNT, ALAN W. BLACK, "Unit Selection in a Concatenative Speech Synthesis System Using a Large Speech Database", ATR Interpreting Telecommunication Research Labs,					
		(continued from previous listing) to appear in Proc. ICASSP-96, May 7-10, Atlanga GA					

Examiner	Date	
Signature	Considered	

^{*}EXAMINET: Initial if reference considered, whether or not classion is in conformance with MPEP 908. Draw line through catalon in rio in conformance end not considered. Include copy of this form with max communication to applicant.

1 Applicant's unique classion designation number (optional). 2 Applicant is to place a check mark here it English language Translation is strated.

1 Applicant's unique classion designation number (optional). 2 Applicant is to place a check mark here it English language Translation is strated to the property of the public which is to file (and by the USPTO to process) an application. Confidentably is governed by 35 U.S.C. 122 and 37 OFR 1.14. This collection is estimated to late 2 hours to complete, including adhering, preparing, and submitting the completed application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete, including another in the complete application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete, including another in the complete application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete, including another in the complete application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete, including another in the complete application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete the including another in the complete application of the complete application. The complete application from the full STOPR 1.14. This collection is estimated to late 2 hours to complete the file of the complete application. The complete application of the complete application from the complete application of the complete application. The complete application of the complete application of the complete application of the complete application. The complete application of the complete appli Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.